# Policy Making Beyond Political Ideology: The Adoption of Smoking Bans in Europe

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# Abstract

Policy making is embedded in politics, but an increasing number of issues, like obesity, tobacco control, or road safety, do not map well on the major dimensions of political conflict. This article analyzes the enactment of restrictions on smoking in bars and restaurants in 29 European countries – a conflictual issue that does not fit easily traditional party ideologies. Indeed, the comparative empirical analyses demonstrate that government ideological positions are not associated with the strictness *and* the timing of adoption of the smoking bans. On the other hand, economic factors like the scale of tobacco production in a country, smoking prevalence in society and public support for tough anti-smoking policy are all significantly related to the time it takes for a country to adopt smoking bans, and to the comprehensiveness and enforcement of these restrictions. In addition, horizontal policy diffusion is strongly implicated in the pattern of policy adoptions.

### Introduction

Policy making is the *raison d'être* of politics. But much of policy making happens outside political ideology. Political conflict structured along broad ideological dimensions is not the only, and in many cases, not the most important context for understanding the making of public policies.

Traditionally, political science assumes that the policy output of democratic political systems can be understood as a product of the conflict and co-operation among political actors – conflict which can be captured by a small number of dimensions which link to party ideology which has a strong basis in social cleavages. Political scientists then proceed to map and identify these ideological dimensions (Benoit and Laver, 2006; Budge et al., 2001; Klingermann et al., 2007; Marks et al., 2006), and analyze the strategic interactions between the political actors, while paying close attention to the institutional context (Erikson et al., 2002; Krehbiel, 1998; Mayhew, 2005). When applied to economic or social<sup>1</sup> policy, the strategy works (e.g. Crisp et al., 2011; Giannetti and Laver, 2005; McCarty et al., 1997). We are, however, faced by an increasing number of issues that do not map well on the general left-right and liberal-authoritarian ideological dimensions. For example, smoking, obesity, genetically modified organisms, internet privacy, and road safety are all issues that either do not provide strong ideological cues, or provide conflicting ones. The argument of this article is that in order to understand policy making about such issues, we need to look beyond political ideology and identify the determinants of policy making from a wider set of variables including public opinion, policy learning and diffusion, and the fundamental socio-economic characteristics of different polities.

In order to shed light on the factors that matter when policymaking is free from the straightjacket of political ideology, I analyze the pattern of enactment of smoking bans in 29 European states since 2003. Focusing on the most controversial part of the policy – the restrictions on smoking in bars and restaurants, I seek to identify the determinants of cross-national variation in the timing of adoption and the comprehensiveness of the policy. Because the smoking ban relates to various issues like public health, hospitalization costs, individual liberty, worker's protection and equality, and the economic consequences for the tobacco and hospitality sectors (see

<sup>&</sup>lt;sup>1</sup> For example, Allan and Scruggs (2004) argue that partisanship has an effect on welfare state retrenchment. Fionna Ross (2000) agrees that parties are relevant for welfare politics but argues that the effect of left and right is sometimes counterintuitive. For a meta-analysis of 43 studies mostly in the economy/social sectors see (Imbeau et al., 2001) – the study concludes that, overall, there is little to no evidence that left/right positions matter.

the contributions in Feldman and Bayer, 2004), it does not unambiguously fit the economic left-right and/or the liberal-authoritarian dimensions of party politics. As a result, the door is open to a host of domestic and external influences. Because antismoking policy is embedded in international and EU context but there are no binding rules with regard to smoking in bars and restaurants, there is scope for a great deal of variation in the national policy responses to the dangers of passive smoking.

Existing literature on anti-smoking policy features case studies which tend to emphasize idiosyncratic domestic factors (Albak et al., 2007; Cairney, 2009; Hooker and Chapman, 2006; Mele and Compagni, 2010) and large-n quantitative work which sidelines but never properly tests for the possible influence of ideologically-motivated politics (Gallet and Catlin, 2009; Studlar, 2007; Studlar and Christensen, 2009). The contributions of this article are that it *integrates* theoretically the impact of political ideology, domestic economic and societal factors, and policy diffusion in a single framework and tests the resulting model in a comparative analysis that goes beyond single case studies.

The empirical analysis presented here *does* find common patterns beyond the national idiosyncrasies highlighted in existing research on anti-smoking policy. Using event history (survival) models, I show that lower public support for the smoking bans, the extent of tobacco (but not cigarette!) production, and smoking prevalence in a country are all associated with more *time* before some form of restrictions on smoking in bars and restaurants are adopted. Also, there is evidence for the impact of policy diffusion since the likelihood of adoption rises over time (and with the number of previous adopters), but government political ideology along left-right, liberalauthoritarian, and pro-anti European integration dimensions plays no role. These conclusions are supported by an additional logistic regression analysis, which allows for a more sophisticated test for the influence of ideology. Finally, I show that tobacco production, smoking prevalence, and public support are significant predictors of the strictness of the enacted smoking bans as well, while political ideology continues to show no association. A complex mixture of domestic and international influences is revealed to shape the pattern of smoking ban enactments in Europe, but political ideology is not the cement that structures decision making for that particular policy.

The rest of the article is structured as follows. First, I discus some of the political science literature on policy making and derive a set of hypotheses about the impact of political ideology, public opinion, socio-economic factors and policy diffusion on the enactment of smoking bans. Then, I briefly introduce the variables

used in the article, their definitions and the data sources. The empirical analysis that follows is divided in three parts which look into the timing of enactment of the policy (using survival analysis and logistic regression) and into the strictness of the policy adopted. Finally, the conclusion summarizes the findings and draws the broader significance of the results.

### Theory: explaining cross-national variation in policy output

Within political science, the study of democratic policy making is often reduced to the study of collective decision making among the set of powerful political actors – most often, these are the governing political parties, the executive, the legislature and the president, possibly the courts<sup>2</sup>. There are good reasons for this move. If the contours of political conflict are relatively stable and follow predictably from the main cleavages in society, then understanding the strategic interactions between the major political actors within the institutional context which embeds them provides the key to understanding the outputs of the political process. As a result, scholars have focused on studying decision making within legislatures and cabinets (Krehbiel, 1998; Strøm et al., 2003), the relationships between the different branches (Mayhew, 2005; Tsebelis, 2002), and the impact of specific institutions that systematically affect policy outcomes (e.g. Krehbiel, 1992). When the nature of political conflict is stable and can be reduced to a small number of dimensions that is all we need to know in order to predict and make sense of the process of policy making. In fact, research has demonstrated that a single dimension, standing for socio-economic left-right, can account for the overwhelming share of variation in voting in the US legislature (McCarty et al., 1997; Poole, 2000), and is the most important aspect of political conflict in the European political systems as well (Benoit and Laver, 2006; Budge et al., 2001). In the case of Europe, the left-right has been complemented by a second dimension that opposes liberal, green and alternative views to authoritarian, traditionalist and nationalistic ones (Marks et al., 2006). More recently, positions on

<sup>&</sup>lt;sup>2</sup> The neglect of public policy by mainstream political science has led to the emergence of a separate discipline of policy analysis (John, 1998, p.3). This literature has made important contributions highlighting the influence of bureaucracy, advocacy coalitions (Sabatier and Jenkins-Smith, 1999) and diffusion (Berry and Berry, 1990; Gray, 1973; Karch, 2007), and shedding light on the mechanisms of agenda setting (Baumgartner and Jones, 2009; Jones, 1994; Kingdon, 1997) and policy implementation. However, somewhere along the way, the potential influence of the (ideologically-motivated) preferences of the major political actors has been lost from the analytical focus of policy analysis. What the current article offers is an *integrated* perspective that considers the possible impact of political ideology alongside factors traditionally studied by policy analysts, like public opinion and diffusion.

European integration have emerged as a possible additional dimension of political contestation in Europe (Kriesi et al., 2008; Marks et al., 2006).

Many important policy issues fit vey well a political space built on one or two dimensions: taxes, social spending, economic regulation, state subsidies, immigration, etc. But an increasing number of problems facing contemporary society do not map neatly on the left-right and/or liberal-authoritarian dimensions. Issues like smoking, obesity, internet privacy, genetically modified organisms, and road safety are only some examples of relatively novel problems which are not absorbed into existing social cleavages and political structures. These are multi-dimensional issues that evoke numerous normative dilemmas and provide conflicting cues for position taking. At the same time, these issues are not salient enough as to give rise to a separate new dimension of political conflict.

We can try to disentangle the various threads that go into the social and political debate surrounding one such issue - the restrictions on smoking in public places, and bars and restaurants in particular. The development of these smoking bans has been a gradual process that started in the US states of California and New York in the late 1990s, swept throughout Europe in the first decade of the XXI century and is currently reaching countries like Turkey (2009), Syria (2010), and China (2011). The most obvious context for smoking policy is public health (Feldman and Bayer, 2004). Protection from dangerous foods, water, drugs and other environmental hazards has long been a part of the responsibilities of the modern state, and protection from tobacco smoke can be viewed as yet another link in a long chain of prohibitions. Given this context, the perceived paternalistic role of the state to enforce restrictions on what is considered bad for us should push liberals in one corner and supporters of the strong regulatory state in the other corner in discourses on smoking prohibitions. More generally, smoking can be viewed as an issue of personal liberty, part of the private sphere where the state should have no legitimate right of intervention. From an ideological point of view, socially-liberal parties should oppose smoking bans while more traditionalist, paternalistic parties should support them. Note, however, that these ideological cues contradict the electoral pressures faced by the parties – smoking is more prevalent in the less-educated and poorer classes (Huisman et al., 2005) – not exactly the electorate of socially-liberal parties in Europe. Nevertheless, based on ideological congruence, we can hypothesize that:

H1: More liberal parties are less likely to enact comprehensive bans on smoking in public places.

In addition to the public health and personal liberty dimensions, the smoking ban has important direct and indirect economic implications. First of all, the tobacco-growing and cigarette producing industries are likely to be negatively affected by restrictions on smoking in public places, to the extent that the restrictions reduce smoking levels in society (for the effects of the policy see Hopkins et al., 2010; Ong and Glantz, 2004). It is also possible that the hospitality industry (restaurants, pubs, bars and other such establishments) might suffer, although the evidence for the economic impact of smoking restrictions on this sector is contradictory (Collins et al., 2010; Dunham and Marlow, 2000; Edwards et al., 2008; Klein et al., 2010; Lal and Siahpush, 2009; Luk et al., 2006) (for a review see Hahn, 2010). Since economically-right parties are generally considered pro-business, we might expect that they will oppose smoking bans more readily. On the other hand, however, smoking is a major source of hospitalizations and treatment of the various diseases induced by smoking contributes to the rising health care costs (for a recent study see Hauri et al., 2011)<sup>3</sup>. Furthermore, tobacco growing is still a heavily-subsidized industry which does not sit well with right economic ideology. But, all things considered, we can settle for the following hypothesis:

# H2: More economically-right parties are less likely to enact comprehensive bans on smoking in public places.

An additional dimension of the passive smoking issue reinforces this expectation. The latest wave of bans on smoking in public places has been framed in many countries in terms of protection of workers and safer working places (Larsen, 2010). Environmental smoke is dangerous and the expansion of the smoking ban to bars and restaurants has been based on the predicament that the people who work there have the right to a safe working place as well. Social democratic and economically leftist parties are more likely to champion tougher standards of workers' protection. On the other hand, electoral concerns again clash with these ideological cues: workers

<sup>&</sup>lt;sup>3</sup> Still, a cynic might object that since smokers die younger they altogether save the health care system money for treatment of expensive old age-related health problems.

provide an important electorate for the leftist parties but they are also the ones more likely to smoke.

The final general dimension of party political contestation that might be related to the smoking bans is European integration. The EU has a relatively comprehensive tobacco policy<sup>4</sup> but with regard to restrictions on smoking in public places it has only issued a recommendation which is not legally binding (see below). Governments which are more supportive of the EU could take more seriously the recommendations coming from Brussels, even though they are only soft law.

# H3: More anti-EU parties are less likely to enact comprehensive bans on smoking in public places.

Altogether, political ideology provides only weak and often conflicting signals about what positions should parties take on the smoking ban. Furthermore, the case study literature on smoking policy has noted that partisan politics seems to play no role<sup>3</sup>. I would argue that when the major dimensions of political ideology are unlikely to provide a sound structure of the political process, the door is open to a wider set of factors to exert influence on policy making. The prime suspect amongst these factors is public opinion – the attitudes and predispositions prevalent in society. Of course, public opinion influences the shape of all policies, but in the case of the traditional domains of activities of the modern democratic state - economic regulation and redistribution, security and immigration, the congruence between public opinion and party positions is likely to be different (Erikson et al., 2002; Soroka and Wlezien, 2010; Stimson et al., 1995; Wlezien, 2004). Also, for the really salient issues the political elites and the public engage in a complex reciprocal relationship of exchanging leads and influencing each other. Political representation is not perfect but elections and democratic accountability ensure that there is a reasonable degree of fit between what the public wants and what the parties enact as policy (Erikson et al., 2002; Soroka and Wlezien, 2010). But for salient, traditional issues the policy responsiveness is constrained by political ideology. On the other hand, for issues for which it is open to interpretation how they map onto ideological space, parties and

<sup>&</sup>lt;sup>4</sup> For the development of tobacco policy in the EU see (Princen, 2009; Princen and Rhinard, 2006).

<sup>&</sup>lt;sup>5</sup> The conclusion however is reached on the basis of case studies of the US, Canada (Studlar, 2002), Australia and New Zealand (Studlar, 2005). To date, there is no analysis that tests systematically for the impact of party ideological positions and there is no convincing account *why* political ideology should or should not matter for tobacco control policy outcomes.

governments are actually *freer* to follow the ebbs and flows of public opinion. In other words, when ideological cues are weak and contradictory, it is easier for governments and parties to be opportunistic and dance to the tune played by the public.

The influence of public opinion on policy making and policy responsiveness has received a lot of attention in the political science and public policy literatures (Burnstein, 2003; Erikson et al., 2002; Shapiro and Page, 1983; Soroka and Wlezien, 2010; Stimson et al., 1995). With regard to tobacco policy, however, the influence of public opinion remains under-researched<sup>6</sup> (for an exception from the public health literature see Martinez-Sanchez et al., 2010). Studlar (2002) suggests that tobacco policy making happens in a "permissive consensus" on the side of the public which would lead us to expect that levels of public support do not have a direct link with policy. Later the same author seems to suggest that public opinion matters (D. Studlar, 2007) but then conflates that with the influence of political cultures<sup>7</sup>.

At the same time, as tobacco prohibitions are an example of a 'difficult' issue for the traditional ideological space, investigating the effects of party ideology and public opinion in the empirical context of the smoking bans can bring important insights about how the two interact when ideological position cues are weak and contradictory. Furthermore, most of the literature on policy responsiveness adopts a longitudinal approach (Erikson et al., 2002) while comparative cross-national studies of the impact of public opinion are still rare. There are at least three mechanisms through which differences in public opinion across states can influence domestic policy outcomes: First, although it is unlikely that party positions on an issue like tobacco control will determine for which party people vote, the threat of electoral punishment for enacting unpopular policies is still present. Second, and perhaps more importantly, evidence that the public is on your side serves as a strategic resource for interest groups and policy entrepreneurs who try to persuade politicians to adopt or not prohibitions on smoking. Third, higher public support for a smoking ban makes the enforcement of the restrictions feasible, and as a consequence it makes the adoption of a ban more likely in the first place.

H4: Lower public support for restrictions on smoking in public places makes enacting a comprehensive smoking ban in public places less likely.

<sup>&</sup>lt;sup>6</sup> The causes of attitudes towards tobacco policy have received more attention – see (Lazuras et al., 2009; Ross and Taylor, 1998; Thomson et al., 2009)

<sup>&</sup>lt;sup>7</sup> The public opinion/political culture argument is operationalized in Studlar (2007) by the percentage of Catholics/Orthodox an in population. Such an indicator of *political* culture is indirect at best.

A related factor that might influence the timing of adoption and the shape of antismoking policies is smoking prevalence in society. The share of people who smoke in a country is a fundamental constraint on the feasibility of rigid anti-smoking regulations (Fong et al., 2006; King et al., 2011; Martinez-Sanchez et al., 2010). It is not a coincidence that the current wave of smoking restrictions follows a strong longterm trend of declining smoking prevalence in the US and Western Europe. Based on the dataset used in the current analysis (for evidecne for the link at the individual level see Schumann et al., 2006), at the aggregate state level public support for smoking bans and smoking prevalence are not very strongly correlated (but within a country they might be - for Greece see Lazuras et al., 2009), so we need to control separately for this structural characteristic of the different European societies:

# H5: Higher smoking prevalence makes enacting a comprehensive smoking ban in public places less likely.

Politicians and policy-makers need not be equally sensitive to the demands of the various groups in society. The system of interest representation makes some groups more successful in voicing their policy preferences and influencing public policy. Tobacco policy is a domain where not only lobbyists for the interest of the tobacco, cigarette and hospitality industries are very active, but also a number of anti-smoking, cancer prevention and consumer protection organizations try to mobilize support and affect government policy (Cooper and Kurzer, 2003; Hastings and Angus, 2004). In the absence of strong ideological cues, political parties are likely to be more open to influence by lobbyists because the smoking ban is an issue they do not care strongly about and do not have a clear ideologically-motivated policy preference on (for a study demonstrating the effects of tobacco lobbying on members of the US Congress see Moore et al., 1994). Unfortunately, there are no reliable cross-country indicators of the varying strength of the tobacco and the anti-smoking lobbies in Europe, so we cannot test directly the impact of interest groups despite strong theoretical reasons to suspect that lobbying matters<sup>8</sup>. An indirect approach is to try to proxy for the strength

<sup>&</sup>lt;sup>8</sup> Studlar (2007) uses the general corporatism/pluralism scales developed by Siaroff and Lijphart to capture variation in the influence of tobacco interest groups across countries but the *type* of interest representation system has very little to do with the strength of a particular lobby coalition in a country.

of the tobacco lobbies by looking at the economic importance of the tobacco-growing and cigarette-production sectors in a country<sup>9</sup>.

The impact of the two sectors relies on slightly different mechanisms, however. Tobacco-growing engages more people, it is likely to be subsidized, and is often practiced in poor regions where more lucrative crops cannot be grown (van Liemt, 2002). So tobacco growing engenders a powerful constituency which is heavily dependent on this crop. To the extent that smoking bans reduce domestic demand for tobacco and cigarettes, and to the extent that the local tobacco production relies on the domestic market, restrictions on smoking in any form are less likely to be adopted in countries which grow substantial quantities of tobacco. The link might be exercised through lobbying but it need not be – the electoral importance of tobacco-growers can put direct pressure on politicians:

# H6: Countries which produce more tobacco are less likely to enact comprehensive bans on smoking in public places.

Cigarette production in a country is also likely to be relevant when accounting for tobacco policy decisions but the mechanisms are different. The production of cigarettes and related tobacco products employs less people than tobacco-growing but generates more value-added which is concentrated in the producers which in most European countries are part of a handful of international conglomerates like Philip Morris and BAT (Hastings and Angus, 2004). Hence, the influence of the cigarette production industry is more likely to be exercised through lobbying rather than electoral pressures. As such, the scale of cigarette production in a country is perhaps a better proxy for the strength of tobacco interest representation. It should be noted, however, that much of the production of European cigarette factories is intended for export, so policy measures like restrictions on smoking in public places are surely unpleasant for the producers, do not affect their export markets as such.

H7: Countries which produce more cigarettes are less likely to enact comprehensive bans on smoking in public places.

<sup>&</sup>lt;sup>9</sup> The influence of economic fundamentals on public policy has been suggested as far back as 1963 by Dawson and Robinson (1963).

National policy making on tobacco is embedded in transnational structures which makes policy diffusion possible, if not highly probable (the literature on policy diffusion is huge - some recent work includes (Karch, 2007), and in the context of tobacco policy (Cairney, 2009; Martin, 2009; Shipan and Volden, 2006; Studlar, 2007)). The mere fact that the smoking ban spread rapidly across Europe in the course of a few years since 2003 already implicates policy learning and diffusion, but the same outcome could have been produced by countries acting independently in response to a rapidly changing external environment. Tobacco policy has both a global and a European aspect. The World Health Organization (WHO) is a champion of anti-tobacco policy and adopted in 2003 an influential Framework Convention on Tobacco Control which presented argued for comprehensive protection from tobacco smoke (World Health Organization, 2003). The tobacco policy of the EU comprises of several components covering cross-border advertising and promotion, pictorial warnings, and labeling of tobacco products. With respect to the issue of smoking in bars and restaurants, however, the EU has only issued a recommendation (Council of the European Union, 2009) - a soft law type of act which does not create binding commitment for the member states. Hence, if we find evidence for diffusion, it is not going to be vertical (hierarchical) but horizontal (voluntary) transfer.

Horizontal policy diffusion can be based on several mechanisms (Marsh and Sharman, 2009; Shipan and Volden, 2008). Although the WHO and the EU do not have binding rules on smoking in public, they provide settings for policy learning where policy experts can exchange policy ideas, learn political strategies and share their experiences with domestic policies (Fuglister, 2011; Radaelli, 2009). Regular contacts between policy makers at these international fora makes the rapid transfer of policies (in name, if not in substance) possible<sup>10</sup>. The internationalization of lobbying (both pro and anti tobacco) is another channel thorough which policy learning can take place – although in Europe it seems that the pro-tobacco lobby is much better organized at the European level than the anti-smoking campaigners. Most indirectly, once a policy becomes popular in the region, it creates a certain feeling of 'we have to do something about it' in the states that have not reacted to the issue yet<sup>11</sup>. Such an

<sup>&</sup>lt;sup>10</sup> Positive and negative economic externalities (Shipan and Volden, 2008) are another mechanism through which horizontal diffusion can spread. In the case of smoking bans in bars and restaurants, however, economic externalities are likely to be of little relevance at the cross-national level. People might travel a short distance across the border to enjoy a puff in a bar, but are unlikely to regularly fly long distances to indulge in indoor smoking.

<sup>&</sup>lt;sup>11</sup> The effect of horizontal ideational diffusion might be difficult to pin down if it works through changing domestic public opinion.

emerging 'standard of appropriateness' can bring an issue to the social ad political agendas, but will be less effective in shaping the actual content of the policy, and even less so when the time comes for enforcement of the regulations.

H8: Horizontal policy diffusion based on policy learning increases the likelihood of enactment of a comprehensive ban on smoking in public places.

The set of eight hypotheses presented in this section of the article brings together several approaches to the study of policy making<sup>12</sup>. Policy making is inevitably embedded in politics, so we need to look into the impact of ideological party positions, even if the ideological cues provided by the issue are weak and contradictory. Looking beyond party ideology, public opinion looms as a major potential determinant of policy making, together with structural societal and economic characteristics, and the power of interest groups. Last but not least, the multi-level institutional setting of tobacco policy provides opportunities for horizontal policy diffusion which complements and modifies the impact of domestic variables<sup>13</sup>. In the remainder of this article, I will test the eight hypotheses using data on the timing of enactment and the strictness of the smoking bans in 29 European states. Before we turn to the results of the empirical analyses, however, I will briefly present the operationalization of the variables used.

#### Variables, operationalization and measurement

The empirical setting of the present study is anti-smoking policy. Within this policy, I zoom-in in particular on the issue of restricting smoking in bars and restaurants. The more general questions of restricting smoking in public buildings or the workplace generates too little disagreement. When the prohibitions reach the doors of restaurants, and especially bars, the policy becomes controversial and tends to polarize opinion.

<sup>&</sup>lt;sup>12</sup> Several additional important factors have been suggested by case study research on tobacco policy. The impact of institutions, and more specifically of the territorial distribution of competences in a state is one (Asare et al., 2009; Cairney, 2009; Studlar, 2005; Studlar, 2007). Another is the impact of policy entrepreneurs (Feldman and Bayer, 2004; Mele and Compagni, 2010). The limited variation within Europe regarding the former, and lack of comparative information regarding the latter factor prevent their inclusion in the empirical analysis that follows.

<sup>&</sup>lt;sup>13</sup> The integrated theoretical perspective presented in this article resembles to some extent the policy systems model advocated by Hofferbert (1990).

Country	Time of enactment	Strictness	Country	Time of enactment	Strictness
Austria	January 2009	2 (partial)	Lithuania	January 2007	2 (partial)
Belgium	January 2007	2 (partial)	Luxembourg	September 2006	2 (partial)
Bulgaria	January 2011	1 (lax)	Malta	April 2005	3 (full)
Cyprus	January 2010	3 (full)	Netherlands	July 2008	2 (partial)
Czech Rep.	NA	1 (non-existent)	Norway	June 2004	3 (full)
Denmark	August 2007	2 (partial)	Poland	November 2010	2 (partial)
Estonia	June 2007	2 (partial)	Portugal	January 2008	1 (lax)
Finland	June 2007	3 (full)	Romania	January 2009	1 (lax)
France	January 2008	2 (partial)	Slovakia	September 2009	1 (lax)
Germany	January 2008	2 (partial)	Slovenia	August 2007	2 (partial)
Greece	September 2010	1 (lax)	Spain	January 2011	2 (partial)
Hungary	January 2012	1 (lax)	Sweden	June 2005	3 (full)
Ireland	March 2004	3 (full)	Switzerland	May 2010	2 (partial)
Italy	January 2005	3 (full)	UK	March 2006	3 (full)
Latvia	June 2006	3 (full)			

 Table 1. Timing of enactment and the strictness of bans on smoking in bars and restaurants in 29 European states

Two aspects of the smoking restrictions in bars and restaurants are studied in the article: the timing of enactment of the policy in the different European states, and the strictness of the ban. Table 1 gives an overview of the distribution of the 29 countries along these two policy dimensions.

The table covers 29 European states – the 27 member states of the EU, Switzerland and Norway. The second column gives the month and the year of the *enactment* of some form of restrictions on smoking in bars and restaurants in the country. Note that this is not the date of *adoption* of the policy but the date in which the policy takes effect. At the time of observation in January 2012, only the Czech Republic had not enacted any form of restrictions. The third column of Table 1 classifies the countries into three categories of increasing order of strictness of the ban.

	D. C	<b>C</b>	min	mean
Variable	Definition	Source	max	(st dev)
Left/Right	weighted cabinet position on the left/right dimension	Calculated from www.parlgov.org which is based on expert surveys	3.37 7.40	5.43 (1.33)
Libertarian/ Authoritarian	weighted cabinet position on libertarian/ authoritarian dimension	Calculated from www.parlgov.org which is based on expert surveys	3.32 8.15	5.74 (1.18)
EU support	weighted cabinet position on European integration	Calculated from www.parlgov.org which is based on expert surveys	5.51 9.82	8.32 (1.12)
Public support	% of respondents who are <i>totally</i> or <i>somewhat</i> in favor of smoking bans in bars and restaurants	Eurobarometer. <i>Attitudes</i> of Europeans towards tobacco. January 2006. (fieldwork September 2005 - December 2005	35 88	62 (14)
Smoking prevalence	number of cigarettes consumed per capita in 2007	The Tobacco Atlas Online	493 3 017	1 550 (601)
Tobacco production	metric tons produced in 2000	WHO Tobacco Factsheets	0 136 600	14 270 (33624)
Log (Tobacco production)	-	-	0 11.82	4.55 (4.48)
Cigarettes production	sticks in millions produced in 2000	WHO Tobacco Factsheets	1115 206 800	35 120 (45 921)
Log (cigarettes production)	-	-	7.02 12.24	9.62 (1.23)
Policy diffusion	number of previous adopters of the policy	own data	0 28	-

Table 2. Definition, sources, and descriptive statistics of the independent variables.

The category takes into account both the comprehensiveness of the ban itself (how many and how important exceptions there are in the legislation) and its  $enforcement^{14}$ .

Thus, the countries in category '1' have no restrictions adopted (the Czech Republic), or the exceptions and exemptions are so numerous and vaguely defined to render the law obsolete, or the enforcement of the ban is close to null. Category '2' countries have enacted partial smoking restrictions which either contain important loopholes in the formal text of the laws (like exemptions for certain type of establishments), or the practical enforcement of the restrictions is less comprehensive.

<sup>&</sup>lt;sup>14</sup> The main source for the data is the overview provided by the European Commission (2011), complemented by existing case studies, newspaper articles and other sources where available.

Category '3' groups the countries that have a ban which allows for only minor exceptions and is strictly enforced.

The adoption date and the strictness of the ban are the two aspects of the smoking bans that provide the outcome variables for the empirical analyses presented in the next section. Table 2 provides an overview of the operationalization, sources, and the most important descriptive statics for the independent variables used. Party ideological positions along three dimensions are based on expert survey data as retrieved by the database infrastructure provided by Döring and Manow (2010). The ideological dimensions of party positions are based on the expert surveys conducted by Benoit and Laver (2006) and Hooghe et al. (2010) – the average of the two is taken in order to maximize the number of parties covered<sup>15</sup>. Public opinion is measured using the Eurobarometer survey<sup>16</sup> from 2006. The smoking prevalence indicator used in the analysis is based on the number of cigarettes smoked per capita, but alternative operationalizations are also employed. The scale of tobacco and cigarette production is taken from the WHO Tobacco Factsheets (World Health Organization). Because of the highly-skewed distribution of the variables, the log of the raw numbers is used in the actual analysis. The influence of policy diffusion is examined by reference to the previous numbers of adopters of the policy, or the passage of time<sup>17</sup>.

#### The patterns of smoking bans in Europe: Empirical investigations in three parts

This section of the article presents the results of the empirical analyses of the speed of adoption and the strictness of restrictions on smoking in bars and restaurants in Europe (for the sake of brevity, I would refer to these restrictions as 'smoking bans'). The analysis proceeds in three steps. First, I present event history (survival) models of the time till enforcement of smoking bans in 29 European states. These models show convincingly that smoking prevalence, tobacco production, public opinion and

<sup>&</sup>lt;sup>15</sup> Note that we are not interested in the effect of the *specific party positions* on the smoking bans, but the effect of ideological party positions. In any case, the expert surveys used in this article do not provide specific tobacco policy positions.
<sup>16</sup> Ideally, we should test the impact of public opinion using data that precedes the adoption of the

<sup>&</sup>lt;sup>10</sup> Ideally, we should test the impact of public opinion using data that precedes the adoption of the policies and that tracks the changes in opinion over time. Unfortunately, such data does not exist for the EU. From the available comparative surveys, the one conducted in late 2005 and published in January 2006 by Eurobarometer (2006) is selected, because it is the earliest in time. Unfortunately, we cannot exclude that public support for the smoking bans has actually been *affected* as a result of the enactment of the bans in the countries that adopted had adopted restrictions, rather than being the *cause* for the bans (Fong et al., 2006; Hyland et al., 2009). Nevertheless, by the time the survey was conducted only three countries had more than six months experience with smoking restrictions in bars and restaurants, so the endogeneity bias is likely to be small.

<sup>&</sup>lt;sup>17</sup> Studlar (2007) argues that a reference to Country Families (English-speaking, Nordic, Continental and Southern) is a way to capture the influence of diffusion but the mechanism that links these purported 'families' and tobacco policy is unclear.

diffusion matter for the timing of smoking bans introduction but provide no evidence for the impact of government political ideology. In order to investigate further the possible impact of party political preferences on the timing of the smoking bans I transform the data into a form that allows for time-varying covariates and switch to logistic regression as the method of analysis. The null findings about political ideology remain the same. Third, I report the results from ordered multinomial models of the strictness of anti-tobacco restrictions. The results complement well the findings from the duration analysis: public opinion and economic factors are associated with the level of protection standards while political ideology appears irrelevant.

#### A: Event history analysis of duration until a smoking ban is enacted

The first set of models I present focus on the time until any form of restrictions on smoking in bars and restaurants are enacted in a country. I set the beginning of 2003 – the year in which the Framework Convention on Tobacco Control was adopted by the World Health Organization – as a reference point for the period of observation. At the time of conducting the analysis at the end of 2011, only one country- the Czech Republic – had not adopted any form of restrictions, so this observation is censored.

I use survival (event history) analysis in order to investigate the relationships of the timing of the tobacco bans with public opinion, the economic importance of tobacco and cigarette production for a country, smoking prevalence in society, and party preferences. Survival analysis is appropriate for working with duration data and can handle censored observations. Because one of the hypotheses to be tested implies that the hazard of smoking ban enactment increases over time due to diffusion, I employ Weibull parametric survival analysis (for an application in research on policy diffusion see Berry and Berry, 1990). The Weibull distribution contains a parameter that can accommodate a monotonically changing baseline hazard and a test on this parameter indicates whether the hazard of adoption is constant, decreases or increases over time.

Table 3 presents the results of the estimations<sup>18</sup>. The results are from an ACF (accelerated failure time) parameterization of the Weibull model which models the time to adoption of a ban and has the following form (Box-Steffensmeier and Jones, 2004, equation 3.18 on p.26):

$$log(T) = \beta_j \mathbf{x} + \sigma \varepsilon$$

<sup>&</sup>lt;sup>18</sup> The models have been estimated with the *survreg* function from the *survival* package for R 2.8.0. The function uses a location-scale parameterization of the Weibull distribution.

where  $\sigma$  scales the stochastic disturbance term  $\varepsilon$  and is equivalent to 1/p (*p* is the shape parameter). Therefore, a positive coefficient in Table 3 implies that the variable increases the time until adoption, and a negative coefficient implies that the variable decreases the time until adoption.

	Model	Model	Model	Model	Model
	A.1	A.2	A.3	A.4	A.5
	4.74	4.70	4.52	4.44	5.00
(Intercept)	(0.38)	(0.95)	(0.40)	(0.41)	(0.54)
	<i>p&lt;0.01</i>	p<0.01	<i>p&lt;0.01</i>	p<0.01	p<0.01
	0.03		0.04	0.05	0.04
Log of tobacco leafs produced	(0.01)	-	(0.01)	(0.01)	(0.01)
	p=0.02		p<0.01	p<0.01	p<0.01
		0.02			
Log of cigarettes produced	-	(0.06)	-	-	-
		<i>p</i> =0.78			
	-1.76	-1.95	-1.36	-1.47	-1.40
Public support for ban in bars	(0.50)	(0.77)	(0.55)	(0.52)	(0.52)
	p<0.01	p=0.01	p=0.01	<i>p</i> <0.01	p<0.01
	0.24	0.36	0.13	0.10	0.19
Thousand of cigarettes per capita	(0.11)	(0.12)	(0.12)	(0.11)	(0.12)
	p=0.03	p<0.01	p=0.26	p=0.37	p=0.11
			0.02		
Left/Right cabinet position	-	-	(0.05)	-	-
			<i>p</i> =0.78		
				0.04	
Liberalism cabinet position	-	-	-	(0.04)	-
				p=0.25	
					-0.06
EU support cabinet position	-	-	-	-	(0.05)
					<i>p</i> =0.27
	-1.38	-1.26	-1.47	-1.51	-1.52
$Log(\sigma)$ (scale parameter)	(0.16)	(0.15)	(0.17)	(0.18)	(0.17)
	p<0.01	p<0.01	p<0.01	p<0.01	p<0.01
Log-likelihood model	-105.6	-107.9	-95.3	-90.9	-94.8
	N=26	N=26	N=23	N=22	N=23

**Table 3.** Weibull survival models of time until restrictions on smoking in bars and restaurants enacted in each EU country

Five individual models are presented. Model A.1 is the baseline; Model A.2 includes an indicator of national cigarettes production instead of an indicator of tobacco growing. Models A.3 to A.5 include measures of government political ideology along three dimensions – socio-economic left/right, liberal/authoritarian, and support for European integration.

Looking first at Model A.1, we can confirm that tobacco production in a country significantly increases the duration until a smoking ban in bars and restaurants is imposed<sup>19</sup>. Each unit increase in the log of the number of tobacco metric tons produced in a country brings a 3% increase in the time until a smoking ban enactment (Table 4 gives more information on the substantive size of the coefficients).

A standard deviation increase in the log of tobacco production leads to a 14% longer 'waiting' time. According to the model, a country producing 136 000 metric tons of tobacco (the observed maximum in the data) faces a 43% longer duration until a smoking ban than a country that produces no tobacco. Evaluated at the mean of the distribution of durations (5 years and 2 months), the effect would result into almost two additional years before restrictions on smoking in bars and restaurants are adopted. Compared to the standard deviation of the durations (27 months), the effect size is substantial. Furthermore, the effect is stable in different specifications of the model.

Variable	For a unit change	For a st dev change	For a range change
Log of tobacco leafs produced	1.03	1.15	1.43
	2 months	9 months	26 months
Public support for ban in bars	0.98	0.78	0.39
	1 month	13 months	37 months
Number of <b>cigarettes</b> per capita	-	1.16	1.83
	-	10 months	51 months

**Table 4.** Impact of the explanatory variables on duration until the enactment of a smoking ban: substantive interpretation for the significant coefficients in Table 3

<sup>&</sup>lt;sup>19</sup> An alternative indicator of the importance of tobacco and smoking for a country – the percentage of cigarette tax from total government tax revenue (provided in the report of the ASPECT Consortium, 2004 and based on World Bank estimates for 1999) – did not reach statistical significance when included in the model despite the strong (0.45) positive bivariate correlation with duration.

*Tobacco* production matters, but the effect of *cigarette* production in a country seems to have no effect on duration. The estimated coefficient is positive (Model A.2) but the standard deviation is large and even allowing for the small number of cases, it is unlikely that the impact of cigarette production on the timing of adoption of restrictions on smoking is significant, both statistically and substantively.

Perhaps unsurprisingly, public support for banning smoking in bars and restaurants (as expressed in 2005) is negatively associated with the time until such restrictions are enacted in the sample of countries included in the analysis. A 14-points increase in the percentage of public support (one standard deviation) leads to a 22% increase in the expected duration, according to Model A.1. Evaluated at the mean, this translates into 13 additional months for a standard deviation change, and more than three years for a change from the observed maximum to the observed minimum of public support – substantial effects of practical significance.

The prevalence of smoking in society is also significantly related to the timing of smoking bans. The effect is highly significant in Models A.1 and A.2. A standard deviation change in the number of cigarettes smoked per capita<sup>20</sup> results in a 16% increase in duration which, at the mean, translates into 10 months. When we allow smoking prevalence to change from its observed maximum to its observed minimum, however, the expected increase in duration is more than four years.

There is strong evidence for increasing hazard of adoption of smoking bans over time, which we can interpret as an effect of policy diffusion. The negative coefficients of the log of the scale parameter reported in models A.1 to A.5 imply that the hazard that a country will adopt a smoking ban rises monotonically with the passage of time. Since we have no reason to expect the hazard to increase over time *other than* as a function of the number of previous adopters of the policy, we can conclude that the data is not inconsistent with a hypothesis of policy diffusion.

There is no evidence, however, for any influence of government political ideology. In models A.3 to A.5 three different dimensions of political ideology are included in the equation, but none shows any connection to the timing of smoking bans. More leftist, authoritarian, and pro-European governments are not associated with shorter duration times until a ban is adopted. Even when we run the models only with the indicators of political ideology as predictors, none of them reaches even modest levels of statistical significance. The ideological predispositions of the

<sup>&</sup>lt;sup>20</sup> The results are robust to the use of alternative indicators of smoking prevalence like total cigarette consumption and percentage smokers in society.

government along the three main dimensions of political ideology seem to be irrelevant for the timing of enactment of smoking bans.

In its current form, however, the setup of the data is not ideal for a test of the ideological impact hypotheses. Currently, a government is 'credited' with the enactment of the policy if this happens during its mandate, and the government is assigned the total duration of the process. This works to compare cross-sectionally whether, on average, more authoritarian (or more leftist, or more pro-EU) governments have been faster than liberal (or rightist, or anti-EU) in enacting the policy. But such a research design cannot capture over-time, within-country variation in government ideology. For example, a left-wing government in Austria might have been eager to adopt a smoking ban all along, but only get a chance to do so when it gets power after a lengthy mandate of a right-wing cabinet opposed to the ban. In the current setup of the data, the willing left-wing government will be 'blamed' for the long duration until a ban is adopted in the country even if the left-wing government itself adopts the ban at its earliest opportunity. Therefore, we need to allow government ideology to vary over time and for that reason, the second step of the empirical analysis switches to a logistic regression framework.

#### B. Logistic analysis of the decision to adopt a ban

In this part of the analysis, the unit of analysis is a *month/country* and the dependent variable is a binary indicator whether the enactment of the ban has happened in that particular month in that particular country. After a country adopts the ban, it exists the dataset, so that in each month only countries 'at risk' of policy enactment are present.

Table 5 presents the results of four logistic regression models which estimate the probability of adoption (in the month/country). Model B.1 replicates the initial duration model with one difference – in order to capture the influence of policy diffusion, the number of previous adopters of the policy is included as a time-varying covariate. All findings from the duration analysis are confirmed – tobacco production, public opinion, smoking prevalence and diffusion are all significantly related to the probability of adoption, and the signs of the coefficients are in the expected directions. The real contribution of this part of the analysis is the more sophisticated test of the impact of political ideology. The three indicators of government positions now vary between countries but also over time<sup>21</sup>.

<sup>&</sup>lt;sup>21</sup> The analysis has been replicated with an individual government (cabinet) as the unit of analysis. Again, there is no evidence for any impact of political ideology.

	Model	Model	Model	Model
	<b>B.1</b>	<b>B.2</b>	<b>B.3</b>	<b>B.4</b>
	-8.66	-9.82	-8.89	-12.89
(Intercept)	(1.79)	(2.10)	(2.08)	(3.04)
	p<0.01	p<0.01	p<0.01	p<0.01
	-0.20	-0.18	-0.20	-0.32
Log of tobacco leafs produced	(0.06)	(0.06)	(0.07)	(0.10)
	<i>p</i> <0.01	<i>p</i> <0.01	<i>p</i> <0.01	p<0.01
	7.34	7.81	7.68	8.06
Public support for ban in bars	(2.14)	(2.29)	(2.55)	(2.49)
	<i>p</i> <0.01	<i>p&lt;0.01</i>	<i>p&lt;0.01</i>	p<0.01
	-1.11	-1.04	-0.85	-0.80
Thousand of cigarettes per capita	(0.50)	(0.52)	(0.55)	(0.52)
	p=0.02	p=0.04	p=0.11	p=0.12
		0.17		
Left/Right cabinet position	-	(0.18)	-	-
		p=0.34		
			-0.02	
Liberalism cabinet position	-	-	(0.16)	-
			p=0.87	
				0.40
EU support cabinet position	-	-	-	(0.21)
				p=0.05
	0.00	0.00	0.00	-
Number of previous <b>adopters</b>	0.23	0.23	0.23	0.29
runnoer of previous auopters	(0.03)	(0.04)	(0.04)	(0.06)
	<i>p</i> <0.01	<i>p</i> <0.01	<i>p&lt;0.01</i>	<i>p&lt;0.01</i>
Akaike Information Criterion	219.97	196.95	190.87	193.90
	N=1632	N=1489	N=1436	N=1489

**Table 5.** Logistic regression models of decision to adopt restrictions on smoking in bars and restaurants enacted in each EU country

Nevertheless, models B.2 and B.3 do not present any evidence that the left/right and liberal/authoritarian ideological dimensions have any association with the probability of smoking ban enactment at any point in time. Model B.4 shows that government EU support might be positively related to the chance of a ban, but the finding is at the edge of conventional statistical significance. Nevertheless, this is the only clue that some dimension of government ideological preferences is linked with the enactment of restrictions on smoking in bars and restaurants.

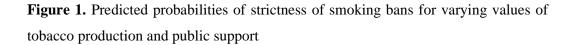
So far both sets of analyses used the timing of enactment as the outcome to be explained. While important, the timing of adoption and entry into force of smoking restrictions is not the only aspect of the policy that matters. The fact that a country has legislated on the issue does not tell the whole story. The content of the measures is at least as important. That is why in the third part of this section of the article I am going to present an analysis of the strictness of the restrictions on smoking in bars and restaurants adopted in the 29 European states since 2003.

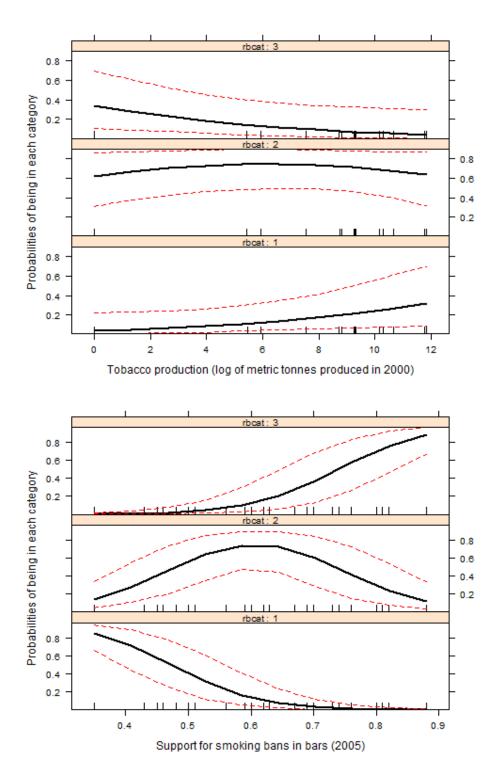
# C. Ordered logistic regression of smoking ban strictness

As explained in the previous section of the article, I categorize the various national restrictions on smoking in bars and restaurants in three categories of increasing order of strictness based on how rigid the laws are and how comprehensive enforcement is.

	Model	Model	Model	Model	Model
	C.1	C.2	C.3	C.4	C.5
Intercept 1   2	3.47 (1.32) p=0.02	5.48 (0.02) <i>p</i> <0.01	4.15 (1.91) p=0.04	2.60 (0.03) p < 0.01	3.95 (0.02) <i>p</i> <0.01
Intercept 2   3	7.32 (1.12) p < 0.01	p < 0.01 8.75 (0.73) p < 0.01	p=0.07 8.76 (2.02) p<0.01	6.90 (1.11) p < 0.01	p < 0.01 8.32 (0.97) p < 0.01
Log of <b>tobacco leafs</b> produced	-0.21 (0.11) p=0.08	-	-0.25 (0.13) p=0.07	-0.28 (0.13) p=0.05	-0.23 (0.12) p=0.07
Log of cigarettes produced	-	0.12 (0.13) p=0.37	-	-	-
<b>Public support</b> for ban in bars	14.34 (0.68) <i>p&lt;0.01</i>	14.75 (0.01) <i>p&lt;0.01</i>	12.70 (0.99) <i>p&lt;0.01</i>	15.55 (0.01) <i>p</i> <0.01	14.35 (0.01) <i>p</i> <0.01
Thousand of <b>cigarettes</b> per capita	-1.47 (0.91) <i>p=0.12</i>	-1.89 (0.75) p=0.02	-1.72 (1.02) p=0.11	-1.40 (0.85) <i>p</i> =0.12	-1.67 (1.05) <i>p=0.13</i>
Left/Right cabinet position	-	-	0.46 (0.46) <i>p</i> =0.32	-	-
Liberalism cabinet position	-	-	-	-0.20 (0.22) p=0.46	-
EU support cabinet position	-	-	-	-	0.11 (0.20) p=0.56
Akaike Information Criterion	42.96	46.43	39.98	38.71	29.06
	N=26	N=26	N=23	N=22	N=23

Table 6. Proportional odds logistic regression of tobacco ban strictness





Thus, we are dealing with an ordered categorical variable and we can employ proportional odds logistic regressions to analyze the data<sup>22</sup>. Table 6 presents the results of the models. Positive coefficients mean that the variable increases the chance of a country adopting stricter rules – public support for forbidding smoking in bars is significantly associated with higher probability that a country will enact and enforce more rigid regulations. On the other hand, tobacco production and smoking prevalence decrease this probability (the latter effect is not consistently significant but the size of the effect seems robust to the different model specifications). As in the duration models, cigarette production (model C.3) has no significant effect. Figure 1 illustrates the scale of the effects (according to Model C.1) for tobacco production and public support: it presents the predicted probabilities of being into each category for different values on the two main independent variables<sup>23</sup>.

Is there any effect of government ideology on the strictness of the regulations? The data does not provide any evidence that left/right, liberalism/traditionalism and European integration positions are related to the type of anti-smoking policy enacted. As for the diffusion hypothesis - in this part of the analysis, we are not able to test it.

### **Discussion and conclusions**

This article presented a comparative analysis of policy making about a novel issue which does not easily map on the major political ideological dimensions. It argued that the patterns of adoption, enactment and enforcement of restrictions on smoking in bars and restaurants in 29 European states are related to economic and social fundamentals, but not to the ideological positions of governments.

I find that the scale of tobacco production in a country prolongs the time until a smoking ban is enacted, and decreases the probably that the ban will be comprehensive and rigidly enforced. Interestingly, a closely related indicator of the economic importance of the tobacco sector – cigarettes production – does not seem to have an effect. This lack of influence is especially puzzling as we can expect that the

 $<sup>^{22}</sup>$  The models are estimated with the MASS and Zelig libraries in R 2.8.0.

<sup>&</sup>lt;sup>23</sup> Since the current analysis looks at a rather specific aspect of tobacco policy – restrictions on smoking in bars and restaurants, it is a reasonable question to ask whether the findings generalize to tobacco control policy more generally. Joosens and Raw (2006) have developed a scale (ranging from 0 to 100) of the strictness of tobacco policy that takes into account prices, smoking and advertising restrictions, health warnings, treatment and public campaign spending. Using this tobacco control scale as a dependent variable in a linear regression model, it turns out that public support and smoking prevalence are significantly associated with the strictness of tobacco policy. The estimated effects of the economic indicators are in the expected direction but do not reach statistical significance. The hypotheses about party ideology influence cannot be tested since there is no single government that can be held 'responsible' for the point estimate of tobacco control strictness in a country.

strength of the tobacco lobby – a factor that is hugely influential in anti-smoking policy in general – is greater in states that manufacture more cigarettes. On the other hand, tobacco growing employs more people than cigarettes production, so there might be an electoral connection in place that explains why the two closely related sectors exhibit different relationships with policy outcomes. Although tobacco growing is *probabilistically* quite strongly related to the timing and type of smoking bans, it is not a *deterministic* cause of delay and lax smoking policy: Italy, which is one of the biggest producers of tobacco in Europe, was in fact one of the very first countries to adopt, enact, and successfully enforce a comprehensive ban on smoking in its bars and restaurants.

The second important predictor of the duration-till-adoption and of the strictness of the smoking bans is public support for such restrictions. To the casual observer this finding might seem almost tautological but policy responsiveness cannot be taken for granted. In contemporary democracies, there are many issues for which policy and public opinion significantly diverge – the long-lasting rift between the general public and the political elites on European integration is just one example. Financial regulation and the bailing out of the banking system is another. Against this background, the high cross-sectional congruence between policy and public opinion in the field of anti-smoking policy is actually quite remarkable. It could be that, precisely because the smoking issue does not easily fit existing ideological cleavages that structure political conflict, policy can be directly responsive to mass attitudes. In the absence of strong ideological clues which position about smoking prohibitions to take, politicians are less constrained to follow the ebbs and flows of public opinion. As important as it is, the issue of passive smoking is still not a problem of high and lasting salience for governing parties which implies that often they might not even have an explicit common position on the issue. Hence, it is easier to be opportunistic and take the lead from the general mood of the public. Furthermore, the rather strong cross-sectional links between public opinion and the smoking bans cast a shadow of doubt over the idea that tobacco policy has been developing under a 'permissive consensus' (Studlar, 2002) – it seems that *levels* of support, and not only the absence of opposition, matter.

Of course, public support for smoking bans itself is a result of interplay of different forces. Media framing effects, political announcements, lobbying efforts and policy feedback all probably influence how much the general population favors restrictions on smoking in public places. Policy entrepreneurs (Feldman and Bayer,

2004; Mele and Compagni, 2010) have a large role to play in this regard as well. Longitudinal studies of the dynamics of public opinion, media exposure, lobbying and policy outcomes would help determine who leads and who follows in this policy domain.

Smoking prevalence in society also seems to increase the probability that smoking bans will be adopted later and that, once adopted, they will be more lax. Various indicators of smoking prevalence are significantly associated with these two aspects of the policy, but it is difficult to interpret the effects. First, smoking prevalence has been the target of sustained public policy for several decades now, so smoking prevalence is not completely exogenous to the introduction of the smoking bans. The relationship between smoking levels and policy is not a one-way street but reciprocal. Second, smoking prevalence itself is strongly correlated with tobacco production (0.41). The general, long-term smoking policy of a country is likely to be at least partly determined by the economic importance of the tobacco sector, and at least partly responsible for smoking levels in society. It is difficult to disentangle the causal relationships in this context. The more cigarettes people consume, the more important the revenue from taxes and excise duties on tobacco products for the state – yet a different reason why governments in societies that smoke more are less likely to curb smoking in bars and restaurants. Another possibility is that the higher the smoking prevalence in society is, the higher the detrimental effects of a smoking ban on the business of bars and restaurants will be. In addition, enforcement of the policy will be more difficult as well. What can be dismissed, however, is the interpretation that smoking prevalence decreases the likelihood of a smoking ban because of its effect on public opinion. Somewhat surprisingly, smoking levels and public support for bans on smoking in bars are only weakly related at the country level (-0.15).

Along with these domestic policy determinants, horizontal policy diffusion is also an important factor for the enactment of smoking bans. Even when we take into account the national economy and social attitudes, we find evidence that policy diffusion matters. The empirical analyses showed that the probability of policy enactment increased steadily since 2003 and the increase is related to the number of previous adopters of the policy. Anti-smoking policy is embedded in a dense framework set of international institutions. Along with the WHO and the EU, there are a multitude of transnational non-governmental organizations active in the policy area. While the quantitative analyses presented in this article bring ample evidence for the impact of diffusion, they cannot elucidate completely the mechanisms though

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which the influence is exercised. It is clear that the spread of bans on smoking in bars and restaurants in Europe since the trailblazing example of Ireland in 2004 is not a result of vertical diffusion backed by the power of coercion – both the WHO and the EU have issued only non-binding recommendations on this issue. Economic externalities are also unlikely to be the mechanisms through which diffusion spreads. Since party ideology plays no role in determining policy on the smoking ban, European transnational party organizations and the European Parliament party groups probably play no role in propagating the policy across the continent. Learning within transnational communities of policy experts and the flow of ideas between societies remain as the most plausible candidates for diffusion mechanisms but, clearly, more research is needed.

In sum, this article showed that when an issue is not tightly embedded in the main political conflict dimensions, many different factors can influence policy making. Policy ideas and learning from abroad matters. But so do domestic economic factors, societal characteristics, and public opinion. This is not to say that policy making happens outside politics. It is only that once party positions are less constrained by the straightjacket of political ideology, a wider array of domestic and transnational influences can enter into the policy making game.

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